

LLOYDS  
BANKING  
GROUP

## Group Health, Safety and Fire Policy

### SUMMARY FOR THIRD PARTY SUPPLIERS

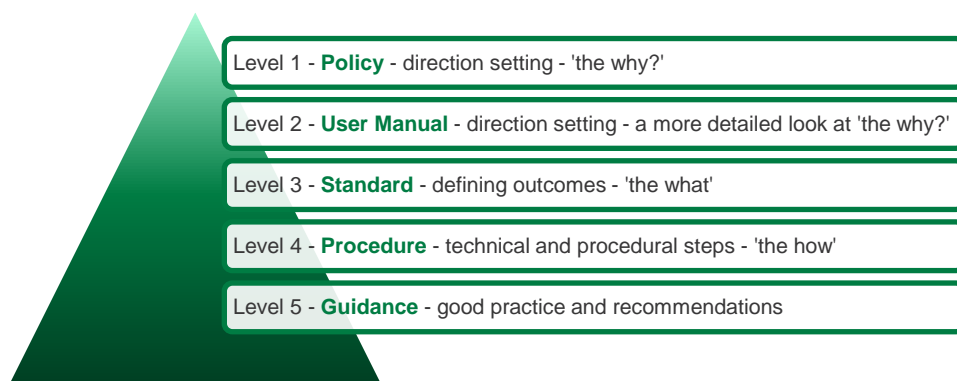
#### RATIONALE

##### Group Policy Rationale

The purpose of this Policy is to assist the group in the management of health and safety in accordance with the legislation and guidance laid out in the Lloyds Banking Group Health and Safety Legal Register.

The requirements and duties identified in the Health and Safety Legal Register are managed by adherence to the Lloyds Banking Group - Colleague and Contractor Safety Framework.

The Lloyds Banking Group Colleague and Contractor Safety Framework is split into levels:



Our legal and regulatory requirements are split into standards which detail the requirements of Lloyds Banking Group. The standards are:

1. Accidents and Incident Reporting and Response Standard
2. Construction, Maintenance and High Risk Activities Standard
3. Electrical Safety Standard
4. Fire and Explosion Safety Standard
5. Hazardous Substances Standard
6. Management of Health and Safety Standard
7. Noise, Vibration, and Physical Agents Standard
8. Pressure Systems Standard
9. Transport and Vehicle Safety Standard
10. Work Equipment Standard
11. Workplace Environment Standard

##### Customer Impact

The Group's vision is to be the best bank for customers. The Group Health, Safety and Fire Policy and this summary document supports this vision and the aim of providing investors with strong, stable and sustainable returns by:

- Providing our Colleagues, Customers and Contractors with a safe environment
- Conducting our business in a safe and socially responsible way

**SCOPE**

This third party version of the Policy applies to suppliers where it has been identified that the Group Policy applies to the provision of their goods and or services.

**MANDATORY REQUIREMENTS – GENERAL**

Lloyds Banking Group and its Supplier Managers must ensure that suppliers have access to the current versions of all Lloyds Banking Group standards.

Suppliers must ensure they are working to the current version of the relevant Lloyds Banking Group standards.

Business Units must ensure that all service and supply partners and contractors operating under Group contracts undergo due diligence assessment at engagement (and in line with the 3<sup>rd</sup> Party Key Controls thereafter) to include the following:

- evidence of adequate resource and structure to manage Health and Safety in accordance with the Health and Safety Management Regulations 1999
- evidence that they identify and assess any significant risks as required by the Health and Safety Management Regulations 1999
- evidence and clarification of any fatalities caused by a health and safety breach
- evidence and clarification of any enforcement notices issued to them by HSE/ EHO/ Fire Authorities
- evidence of a current documented Health and Safety Policy if they employ 5 or more persons that contains:
  - specific/measurable targets and actions
  - regular training for all employees including induction.
  - external reporting of accidents/incidents
  - a named senior manager/board member responsible for health and safety
  - evidence of an in-house documented safety management system.

**KEY CONTROLS**

| <b>Control Title</b>  | <b>Control Description</b>  | <b>Frequency</b>  |
|---|---|---|
| Adequate Resource and Structure to manage Health and Safety                                       | In accordance with the Health and Safety Management Regulations 1999  | As per the LBG Supplier Assurance Assessment programme  |
| Risk assessments undertaken for all activities requiring a formal risk assessment/ Method of Work | Identify and assess any significant risks as required by the Health and Safety Management Regulations 1999  | As per the LBG Supplier Assurance Assessment programme  |
| Number of fatalities caused by a health and safety breach   | Number of enforcement notices issued by HSE/ EHO/ Fire Authorities. Provide sight of events described   | As they occur   |
| Health and Safety Policy (note – Only required for suppliers with 5 or more employees)            | Sight of policy and answers as to whether it includes:<br><br>Specific/measurable targets and actions<br><br>Regular training for all employees including induction | As per the LBG Supplier Assurance Assessment programme. |

|   |   |  |
|---|---|--|
|   | External reporting of accidents/incidents<br><br>Names a senior manager/board member responsible for H&S  |  |
| In-house documented safety management system  | Confirmation as to whether one in place and if yes whether available to inspect on demand   | As per the LBG Supplier Assurance Assessment programme |
| For suppliers undertaking construction or property maintenance works, supplier to be registered and approved with SafeContractor  | Confirmation of registration and approval with SafeContractor for the work activities undertaken for LBG  | Evidence annually                                      |
| For suppliers sub-contracting construction related work to others ensure that they have documented policy and procedures for selection, instruction, management and overall responsibility for sub-contractor activities. | The supplier understands the requirements to perform oversight and assure that the activities undertaken by sub-contractors / network / others on their behalf to deliver its contracted construction, repair and maintenance work to LBG premises and/or LBG customer premises are compliant with any applicable health and safety standards | As per the LBG Supplier Assurance Assessment programme |
|   |   |  |

#### MANDATORY REQUIREMENTS – NON-COMPLIANCE

Any material differences between the requirements set out above and the supplier's own controls should be raised by the Supplier with Lloyds Banking Group's Supplier Manager.

The Supplier Manager will then discuss the non compliance with the Accountable Executive for the relationship and local Risk team to agree way forward.

| Version Number | Effective Date    |
|----------------|-------------------|
| 1.0            | 31 March 2014     |
| 2.0            | 20 August 2015    |
| 3.0            | 28 October 2016   |
| 4.0            | 08 December 2017  |
| 5.0            | 23 January 2018   |
| 6.0            | 15 October 2018   |
| 7.0            | 12 July 2019      |
| 8.0            | 25 September 2020 |
| 9.0            | 30 July 2021      |
| 10.0           | 02 November 2022  |
| 11.0           | 01 November 2023  |